

**OSTEOARTHRITIS INITIATIVE (OAI) GUIDELINES FOR PUBLICATIONS
USING PUBLIC USE DATA SETS
(APPROVED 8-18-06; REVISED 11-3-16)**

A. Objectives and Scope of the Guidelines

1. To encourage appropriate use by the scientific community of the OAI public data sets. (Hereinafter “OAI data” refers to data collected from participants by questionnaires, interviews and examinations and to radiograph and MR images and measurements made from these images).
2. To avoid unintended duplication of effort and to foster collaboration among investigators.
3. To ensure appropriate citation and acknowledgement of OAI as the source of the data used in publications.
4. To foster high quality and creative publications based on valid use and interpretation of OAI data.
5. To offer analytic guidance in use of the data

B. Access to OAI data and Permitted Uses

To be a Recipient of OAI data, an investigator must first register on OAI Online, the OAI public website (<http://www.oai.ucsf.edu/>), and complete a Data Use Agreement.

Please Note: Transfer of OAI data from a Recipient with a valid Data Use Agreement to others (Agents) should be restricted to subcontractors, students, fellows, analysts and others who are collaborating directly with the Recipient on permitted uses of the OAI data. All other individuals must register separately on the OAI Online public website (<http://www.oai.ucsf.edu/>) and complete a Data Use Agreement before obtaining and using OAI data.

Permitted uses of OAI data are specified and governed by the Data Use Agreement.

Violation of the terms of the Data Use Agreement by a Recipient or their Agents may result in termination of the Recipient’s further access to OAI data.

C. Registry of OAI Data Recipients

When OAI data Recipients register on the OAI Online public website, they provide their name, institutional affiliation, and contact information. If the registered individual completes a Data Use Agreement and becomes a Recipient of OAI data, their information will become part of a database of OAI data Recipients. This database will be used to ensure that Recipients receive updates about the OAI data already in their possession and to inform them about other OAI data that are available and that will be available in the future.

Recipients may also opt to provide further information about research interests and planned uses of the data to foster contact between investigators with similar interests and to avoid

unwanted duplication of research effort (some duplication may, of course, be intentional and desirable).

D. Required Citation and Acknowledgement of the OAI

As part of the Data Use Agreement, Recipients and their Agents agree that in publications using data from OAI public use data sets they will acknowledge the OAI as the source of the data, including the version number of the data sets used.

1. The official name of the study for publications is “the Osteoarthritis Initiative (OAI)”

2. Meeting abstracts.

Title: “...data from the Osteoarthritis Initiative”

Methods section: “Data for these analyses are from the OAI public use data set(s)”

3. Papers and journal articles

Title: “...data from the Osteoarthritis Initiative”

Methods section: “Data used in the preparation of this article were obtained from the Osteoarthritis Initiative (OAI) database, which is available for public access at <http://www.oai.ucsf.edu/>. Specific datasets used are [version numbers].”

Acknowledgement: “The OAI is a public-private partnership comprised of five contracts (N01-AR-2-2258; N01-AR-2-2259; N01-AR-2-2260; N01-AR-2-2261; N01-AR-2-2262) funded by the National Institutes of Health, a branch of the Department of Health and Human Services, and conducted by the OAI Study Investigators. Private funding partners include Merck Research Laboratories; Novartis Pharmaceuticals Corporation, GlaxoSmithKline; and Pfizer, Inc. Private sector funding for the OAI is managed by the Foundation for the National Institutes of Health. This manuscript was prepared using an OAI public use data set and does not necessarily reflect the opinions or views of the OAI investigators, the NIH, or the private funding partners.”

Please use the following language in publication acknowledgements if data from the OA Biomarkers Consortium FNIH Project are used:

Acknowledgement: “Data provided from the FNIH OA Biomarkers Consortium Project are made possible through grants and direct or in-kind contributions by: AbbVie; Amgen; Arthritis Foundation; Artialis; Bioiberica; BioVendor; DePuy; Flexion Therapeutics; GSK; IBEX; IDS; Merck Serono; Quidel; Rottapharm | Madaus; Sanofi; Stryker; the Pivotal OAI MRI Analyses (POMA) study, NIH HHSN2682010000 21C; and the Osteoarthritis Research Society International.”

Recommended

Additional documentation describing various aspects of the design and methods of the OAI is available on the OAI Online website (<http://www.oai.ucsf.edu/>) and should be paraphrased and referenced as appropriate.

4. Presentations

Title: "...data from the Osteoarthritis Initiative"

Methods section: "Data for these analyses are from (an) OAI public use data set(s)"

Funding Acknowledgement: "The OAI is a public-private partnership comprised of five contracts funded by the National Institutes of Health. Private funding partners include Merck Research Laboratories; Novartis Pharmaceuticals Corporation, GlaxoSmithKline; and Pfizer, Inc."

E. Informing the OAI about Publications based on OAI Data

Recipients are requested to inform the OAI of articles published using OAI data so that publications can be tracked and posted on the OAI Online public website. There is a request on the OAI website for Recipients to report publications using OAI data (<http://www.oai.ucsf.edu/datarelease/Publications.asp>).

The OAI Data Coordinating Center at the University of California, at San Francisco, will maintain an electronic archive of all OAI publications. PDFs of publications will be posted on the website if available and permitted by the copyright holder.

F. Collaboration with OAI Investigators and Obtaining OAI Approval of Manuscripts

Recipients of OAI public data sets may choose to seek collaboration with OAI investigators in the analysis of OAI data and participate in the OAI investigators publications process. Those interested may e-mail OAIPublications@psg.ucsf.edu for more information, a copy of the ***Publications Guidelines for OAI Investigators*** and a list of OAI investigators and their research areas.

This track involves the following additional activities:

1. Develop an analysis proposal using a specified format (including research questions and/or hypothesis, brief background and rationale, variables to be used in analysis, key mock tables, timeline for completion, etc.) and submit this analysis proposal for review by the OAI Publications Committee.
2. Collaborate with one or more OAI investigators in analyzing and publishing the data.
3. Submit abstracts and manuscripts for review by the OAI Publications Committee prior to submission to a meeting or journal.
4. Approved manuscripts will be authorized to use the following in the Acknowledgement: "This manuscript has received the approval of the OAI Publications Committee based on a review of its scientific content and data interpretation."
5. Manuscripts should use language approved by the OAI Publications Committee (refer to Section D above for specifics):
 - a) Cite the OAI public access database and the version numbers of the datasets used as the source of the data in the methods section.

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b) Acknowledge the OAI funding sources.

6. In addition to listing the specific OAI co-authors, the byline of approved manuscripts should include the following: "...and the Osteoarthritis Initiative [or OAI] Investigators Group**"

*The asterisk will refer to a list of OAI investigators.

7. Authors will be asked to submit additional information about the analyses, such as code from statistical packages for key calculated variables used in published analyses, IDs of subsets of participants included in analyses and/or code indicated how the subsets were defined and selected.
8. Authors will have the opportunity to contribute data used in the publication that are derived from OAI measurements and images (such as x-ray readings with documented methodology) to the OAI for eventual public release.